



Compliance Calendar

Revised: August 2020

Compliance Partner: Leigh Kidwell

Date	Reporting Requirement	Next Reporting Period	Due Date
September	Auditor Independence Statement	Annually	September 1
	Auditor Code of Ethics Statement	Annually	September 1
	Public Funds Investment Act Audit - biennial	Audit Plan FY-21	Jan 1, 2022
	Texas Administrative Code 202 Audit - biennial	Audit Plan FY-23	N/A
	NCAA Agreed Upon Procedures - triennial	Audit Plan FY-23	Jan 15, 2024
	Safety and Security Audit - triennial	Audit Plan FY-22	N/A
	External Quality Assurance/Peer Review - triennial	Audit Plan FY-23	N/A
	Facilities Audit – every 5 years	Audit Plan FY-22	N/A
October	Internal Audit Annual Report	Annually	November 1
	Audit Recommendation Status Notice	Quarterly	N/A
	Audit Charter Review and Update	Annually	November 1
December	Review Performance Measures and Goals	Quarterly	N/A
	Review Audit Plan timelines, revise if necessary	Semi-annually	N/A
	Chief Audit Executive’s CIA Renewal	Annually	December 31
January	Audit Recommendation Status Notice	Quarterly	N/A
February	Departmental Budget Submission	Annually	N/A
March	Chief Audit Executive’s CPA License Renewal	Annually	March 31
	Risk Assessment, Interviews, Surveys	Annually	June 30
	Control Environment Evaluation	Annually	June 30
April	Audit Recommendation Status Notice	Quarterly	N/A

Date	Reporting Requirement	Next Reporting Period	Due Date
May	Review & Update Policies, Manual, Webpage	Annually	N/A
	Review and Purge Files per Records Retention Schedule	Annually	N/A
	Review Audit Plan timelines, revise if necessary	Semi-annually	N/A
June	Audit Plan	Annually	August 1
July	Audit Recommendation Status Notice	Quarterly	N/A
August	Internal Quality Assurance Review	Annually	November 1
	Internal Audit Annual Report	Annually	November 1
	Schedule next Fiscal Year Audits	Annually	August 31

M I D W E S T E R N S T A T E U N I V E R S I T Y

An Equal Opportunity/Affirmative Action Employer and Educator